A Primer on Artificial Intelligence (AI)



Overview

- 1 What is AI?
- 2 Al branches and techniques
- The emerging landscape
- Skills, data, and infrastructure supporting Al
- 5 Al uses and opportunities in the Government of Canada
- 6 Risks and ethical considerations
- 7 The legal and policy environment
- 8 Annexes: Guidance, AI assistants, and learning resources



AI, Data, and Algorithms

Artificial intelligence (AI)

An **Al system** is a machine-based system that infers how to generate outputs such as predictions, content, recommendations, or decisions from the input it receives.

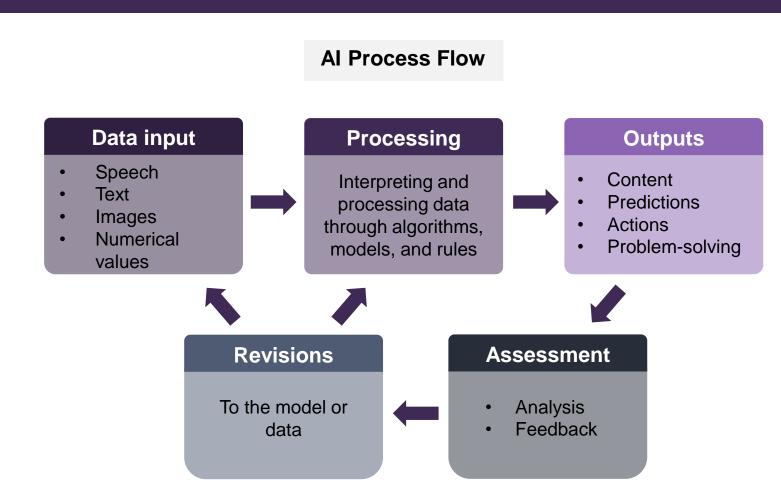
Al is also a **category of technologies.** A common explainer is "technology that performs tasks that would ordinarily require biological brainpower to accomplish, such as making sense of spoken language, learning behaviours, or solving problems." 1

Data

Data refers to structured and unstructured values such as numbers, text, images, and videos. Al systems get their value from processing massive amounts of data—and are generally required to process that data in the first place.

Algorithm

An algorithm is a set of rules or instructions a machine (and especially a computer) follows to achieve a particular goal.







What Is AI? AI Branches and Techniques

All is best thought of as a set of interconnected fields and subfields. Rather than a single All technology, a range of different techniques and approaches are used to solve different problems.

Machine I	Learning
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Reinforcement techniques that allow computers to improve outputs over time by testing multiple processing approaches within the machine learning model, assessing outputs against success benchmarks, then adjusting.

Computer Vision

Methods to acquire and make sense of digital images, usually divided into activity recognition, image recognition, and machine vision.

Neural Network

A class of algorithms loosely modelled after the neuronal structure of the brain that improves its performance without being explicitly instructed on how to do so.

Natural Language Processing

Tools that interpret text (or transcribed speech) for analysis or to allow conversational interaction with software (for example, chatbots, generative AI). May or may not use machine learning.



Expanding into the Mainstream

Generative AI, large language models, AI assistants, and bots have quickly emerged and are becoming increasingly mainstream

Generative AI (GenAI)

A category of AI that accepts natural language and other media prompts to generate new content (text, images, audio, or other forms of data) that is statistically probable in response to a prompt.

Large Language Model (LLM)

LLMs power generative AI. They use machine learning algorithms to process vast amounts of data and generate human-like textual responses based on that data.

Al Assistant

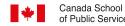
Software that uses AI to increase productivity by streamlining and automating workflows, generating content, connecting software, managing calendars, supporting decision-making, and more.

Bot

A software application that performs automated tasks on the internet and within systems based on human instructions provided through programming.

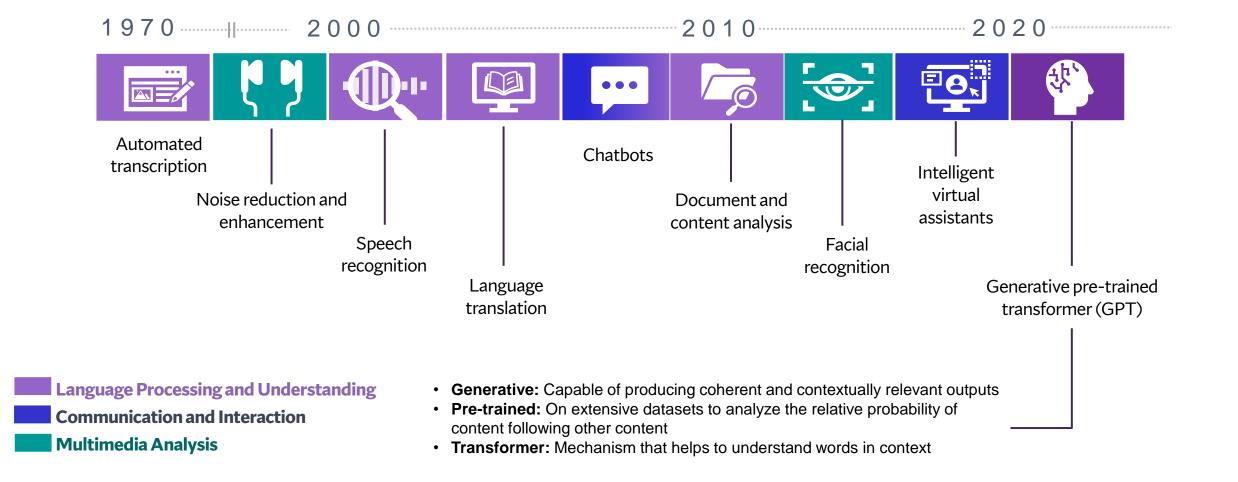
Hi! I am the Canada Revenue Agency (CRA) Chatbot. Select the icon to start a chat.







Timeline and Emerging Landscape





Timeline and Emerging Landscape: Recent



















Radical acceleration in the last decade: Why?

- Increase in available data
- Access to software including open source
- Increase in **computing power** and decrease in cost
- Shared or proprietary models, algorithms, and techniques
- Commoditization and mainstreaming of AI tools

Timeline and Emerging Landscape: Now



















Emerging now:

- Generative AI that includes references to sources, called retrieval-augmented generation, to increase the reliability of AI systems
- Generative AI incorporating GC- and program-specific data behind GC firewalls
- Multimodal generation: text, audio, images, and videos

Timeline and Emerging Landscape: Next



















What's next:

- Continued investment and experimentation in this space will generate new tools and uses, though AI is susceptive to "hype cycle" predictions and the most productive, sustainable uses will be revealed over time
- Mature Al systems still represent **narrow Al** (Al systems good at specific tasks), not **artificial general intelligence** (Al systems that can self-improve at a wide range of tasks)



Skills, Data, and Infrastructure Supporting AI

For every Al use, there's a lot of work and tooling below the surface. Ultimately, every Al project depends on data.



Application
"Using AI to do [X]"

Models

Training data, choice of AI approaches, statistical methods, algorithms



Skills

Data science, mathematics, statistics, research, data collection, and programming



Business questions

Ultimately, the foundation of AI work is a well-defined business problem to solve



Data

Data that's ideally cleaned, normalized, unbiased, free of personal or copyright data, and often massive and costly to analyze. Cleaning and preparing data for analysis and processing can be 50% or more of the work and cost involved.

Infrastructure and tools

Substantial and specific computing power or access to cloud-based tools, open source or proprietary models, and specialized software





Opportunities and Current Uses for AI in the GC



- Analysis of complex data and information
 - Big data analysis



Services and operations

- Automation of routine activities
 - Rapid provision of information and analysis
 - Accessibility and translation support
 - Service demand forecasting



Risk-based regulation and compliance

- Surveillance, monitoring, and tracking
 - Targeted testing and inspections
 - Regulatory analysis



- · Analyzing public input
 - Discourse analysis
 - Increased business and stakeholder intelligence



Risks of AI in the GC

- 1 Keeping up with a changing technology environment in operations
- Efficiency lag while the GC develops, procures, or adopts policy-compliant solutions and approaches
- 3 Securing increasingly substantial, valuable, and linked data holdings
- 4 Policy considerations regarding Al use in all sectors
- 5 Protecting public trust when using AI for government business
- 6 Informational advantage of external parties leveraging AI in dealings with government



Ethical Considerations

As AI becomes more advanced and its use becomes widespread, there is a greater risk that it may—even unintentionally—be misused, perpetuate inequality, or exacerbate existing societal problems. These are only some of the many ethical considerations. Others may include impacts on job markets, environmental impacts, and questions about humans' relationship with technology.

Bias and fairness

Bias in AI means unfair decisions or skewed outputs. The GC has a responsibility to make sure that AI tools treat everyone fairly and without discrimination.

Bias can be a product of the algorithm or model or the training or input data.

In short, historical data with a context of systemic racism and discrimination is likely to result in a biased AI output.

Transparency and accountability

Transparency is required around how AI systems operate and, if they support decision-making, how data was analyzed to produce outputs. This includes openness, clarity, traceability, and explainability of the AI system.

Actors—individuals or organizations—leveraging Al systems may not feel responsible or accountable, or take responsibility or accountability, for actions, outputs, or decisions made by the system.

Privacy, security, and governance

Al systems process massive amounts of data, and Al tools are often cloud-based or based on externally created resources, code, or models.

Any processing of personal or sensitive data needs to be governed and protected.

Data provenance and copyright

Data provenance refers to the origins, ownership, collection, and reliability of source data. Organizations using data may need to track and document the sources, transformations, and usage of data throughout data lifecycles.

Many datasets powering generative AI in particular have massive, opaque data sources that likely include personal information, or direct or derivative copyrighted works.

Manipulation and deception

This category involves the ethical considerations related to the use of AI in generating and disseminating misleading or false information.

External entities may use or propagate disinformation, misinformation, or deepfakes using Al.

Generative AI may create content that includes false information.





AI and Representation

Researchers and advocates have identified a number of potential and proven risks and harms of AI. These are likely to disproportionately impact marginalized communities.

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1

Absence or under-representation in training data: For example, in simulations, self-driving cars were found not to stop for people in wheelchairs, which were absent in the training data.*1

Generative AI underrepresents minority communities in generated images of many professions; attempts to correct this through the processing algorithms have so far instead created stereotypical racially coded images.²

Social media or content-streaming applications are likely to generate recommendations based on "what people like you" like, siloing communities with different life experiences.

2

Over-representation in training data: For example, advocates have demonstrated that predictive policing systems were systematically over-policing marginalized communities, which were over-represented in the training data.³

*Jutta Treviranus, Director of the Inclusive Design Research Centre at OCAD University, notes that AI may be a "double-edged sword" for people with disabilities: there's a danger of decisions or systems based on data that excludes them, or systems generating outputs that serve the majority because they're designed for efficiency. On the other hand, automation technologies (for example, self-driving cars) could also create options and supports for people.





The Legal and Policy Environment

For Government of Canada internal use:

- <u>Directive on Automated Decision-Making</u>
- Guide on the Use of Generative Al
- The Office of the Chief Information Officer has just launched an initiative to shape an Al strategy for the federal public service, scheduled for completion in fall 2025

For industry and society:

- Artificial Intelligence and Data Act (in development)
- Voluntary Code of Conduct on the Responsible Development and Management of Advanced Generative AI Systems

The Directive requires an Algorithmic Impact Assessment where GC use of Al supports, or renders, administrative decisions about individuals.

The Artificial Intelligence and Data Act was tabled in 2022 as part of Bill C-27. As of January 2024, it is in committee for study.

Federal institutions are expected to align with the principles of fair, accountable, secure, transparent, educated, and relevant (FASTER) use of generative AI.

Consult chief
information and
security officers and
use systems that have
gone through privacy
and security screening or
the Al Supply List



Annex A: FASTER Principles for Generative AI

Fair	Ensure that content from these tools does not include or amplify biases and that it complies with human rights, accessibility, and procedural and substantive fairness obligations.
Accountable	Take responsibility for the content generated by these tools. This includes making sure it is factual, legal, ethical, and compliant with the terms of use.
Secure	Ensure that the infrastructure and tools are appropriate for the security classification of the information and that privacy and personal information are protected.
Transparent	Identify content that has been produced using generative AI. Notify users that they are interacting with an AI tool. Document decisions and be able to provide explanations if tools are used to support decision-making.
Educated	Learn about the strengths, limitations and responsible use of the tools. Learn how to create effective prompts and to identify potential weaknesses in the outputs.
Relevant	Make sure the use of generative AI tools supports user and organizational needs and contributes to improved outcomes for Canadians. Identify appropriate tools for the task; AI tools aren't the best choice in every situation.

Guide on the use of generative Al





Annex B: Use of AI Meeting Assistants

Innocuous	Automated transcription	Language translation	Noise reduction and enhancement	Accessibility features
Increasingly expected	Intelligent virtual assistants	Smart scheduling	Meeting summaries and action items	Document and content analysis
Contentious	Engagement tracking	Gesture recognition	Sentiment analysis	Facial recognition
	+ More emerging uses as the market for AI assistants is seeing rapid expansion and experimentation			





Annex B: Real-Time Business Intelligence in Meetings

Al assistants are already being embedded in major enterprise software applications (for example, Word, Excel, Teams, PowerPoint)

In a meeting context, that means **integrated with customer relationship management systems** (for example, Dynamics, Salesforce)

Parties meeting with government officials will increasingly have **rich**, **real-time**, **access** to the following:

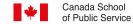
Facts and figures

Sentiment analysis

Policy positions

Suggested conversation prompts

Notes from every previous meeting with an official or an organization





Annex B: Privacy, Ethics, IM, and Security in Meetings

If a meeting is being transcribed and summarized, it's being recorded

Bots and integrated software **may not trigger** the recording notification

Transcripts and recordings are then held by:

- other organizations
- often cloud-based AI assistant providers

Some Al assistant software could have weak data protection—or be explicitly designed to collect data

For recordings and transcripts recorded by GC officials, records will fall under IM and ATIP legal and policy frameworks





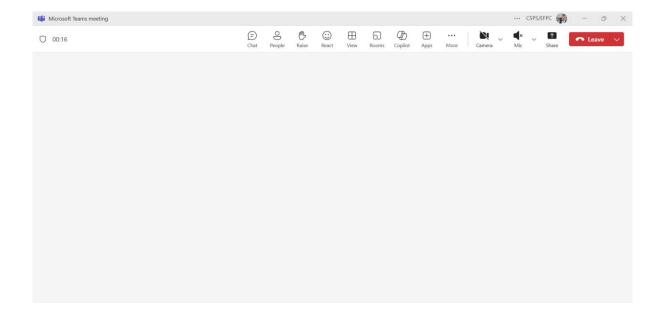
Annex B: Managing for Privacy in Meetings

Ensure that all participants in a meeting are identified and known

Consider whether assistants—human or Al—should be involved in the meeting discussion

In general, we cannot assume that there's no Al and no recording—a participant could have a standalone device recording, or a home assistant like Alexa

Ultimately, this is a question of trust in the participants and the sensitivity of the discussion





Annex C: The School's Learning Resources

Courses	Discover Artificial Intelligence Using Generative AI in the Government of Canada Ethical Considerations in AI
Microlearning articles	Decoding Al Assistants in Videoconferences Using Large Language Models (like ChatGPT) in the Federal Public Service Demystifying Artificial Intelligence OpenAl's ChatGPT Explained
Events	Artificial Intelligence Series: ongoing



