PCC Guidelines for the Application of Relationship Designators in NACO Authority Records

created by

The Joint PCC Standing Committee on Standards and

Standing Committee on Training

Task Group on Guidelines for Relationship Designators in Authority Records

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Editorial comment from the PCC Secretariat, November 1, 2018

The PCC Secretariat acknowledges that the current version of the guidelines, dated November 2018, is much more restrictive than the original version dated April 2016. The restrictions are in no way a reflection on the task group's thinking; the restrictions are based more on what the LC/NACO Authority File can accommodate, and what cataloging codes permit within the MARC environment.

In April 2016, the members of the Task Group on Guidelines for Relationship Designators in Authority Records submitted a very thorough, studied, and far-reaching set of guidelines on the use of relationship designators in NACO name authority records. The guidelines were comprehensive and forward thinking; they provokingly tested the boundaries and limitations of what was possible within the MARC-based LC/NACO Authority File. In addition, the submitted guidelines challenged NACO policies and practices that would need to change in order to accommodate a full implementation of the recommendations.

Introduction and Background

This document represents the PCC guidelines for the use of *relationship designators* in LC/NACO Authority File (LCNAF) records. It does not address the requirements for making relationships in authority record that are covered in RDA or the LC-PCC PSs, just guidelines for the use of relationship designators once the cataloger has made the decision to record a relationship. Although the guidelines are meant to serve as a stand-alone document giving general guidance on the use of relationship designators in authority records, the guidelines assume an understanding of the appropriate RDA instructions and the LC-PCC Policy Statements.

These guidelines also do not apply to subject authority records.

However, since there is no centralized document recording PCC policy on recording the relationships themselves in authority records, a brief summary will be given after this introduction, together with the PCC policy on use of relationship designators in NACO authority records.

Examples in this document show only the relevant MARC fields. Full records are not shown.

Examples show current practices. Please be aware that older practices may appear in NACO authority records.

The examples in these guidelines show MARC Authority Format coding as displayed in OCLC, and show only the relevant fields. For emphasis and better readability, the relationship designator is shown in **bold**.

PCC Best Practices

PCC Best Practices for Recording Relationships in NACO Authority Records

1. Generally include a relationship designator whenever a relationship has been recorded in a MARC authority 5XX field, if an appropriate designator is available. There are several exceptions to this policy that are outlined in the following guidelines:
   a. the nature of the relationship is unclear (Guideline 7)
   b. the relationship is too complex or otherwise unsuitable to be expressed (Guideline 8)
   c. reciprocal relationships that would involve large numbers of relationships (Guideline 8)
   d. pseudonymous relationships involving more than two identities (Guideline 10)
   e. updating legacy authority records with "earlier/later" codes in 5XX $w (Guideline 11)
   f. relationships between governments (Guideline 13)
2. Catalogers may formally record relationship designators in the MARC 5XX field *only* when the other entity has been established in the LCNAF. They may not formally record relationships in the 5XX field to entities in other vocabularies such as LCSH or TGN (Getty Thesaurus of Geographic Names). If the cataloger desires to formally record a relationship designator in a 5XX field for an entity appropriate to the LCNAF that has not yet been established, the cataloger must establish it.
3. Some attributes for entities contained in authority records have a similar nature to relationship designators. These attributes may be recorded in the 3XX fields of the authority record, or may be recorded in notes, source citations, etc. For instructions on recording these elements, see RDA, LC-PCC PS, and DCM Z1. This approach may be most appropriate when the cataloger wishes to record a relationship to an entity appropriate to the LCNAF but has not yet been established, and the cataloger does not wish to establish it (e.g., Affiliation, RDA 9.13/MARC 373).
4. Reciprocal relationships. Once a relationship has been recorded in a MARC 5XX field there is generally no requirement to record a reciprocal link in a 5XX field of the corresponding record. However, the community has developed certain common practices for recording reciprocal links. A few of these practices can be said to be required. For guidance and best practices, see Guideline 9, below; for required reciprocals, see Guidelines 10-15.

Catalogers are encouraged but not required to update relationship designators that have changed in RDA as they encounter them in name authority records (e.g., change "founded corporate body" to "founded corporate body of person" or "founded corporate body of corporate body").

General Guidelines

Guideline 1: Use of This Training Manual

This training manual is intended to be used as a resource when applying relationship designators in RDA authority records. For relationship designators in bibliographic records, see
http://www.loc.gov/aba/pcc/sct/documents/rel-desig-guide-bib.pdf

Guideline 2: Sources for Relationship Designators

Guideline 2a. RDA Appendices

PCC catalogers should use relationship designators from the RDA appendices, whenever an appropriate one is found there.

New Designators or Changes to Existing Designators

Until the RSC Relationship Designator Task Group completes its work, and the current moratorium on proposing new relationship designators is lifted, no new or changed relationship designators will be added to RDA. In the interim, use only approved relationship designators appearing in the RDA Toolkit.

Guideline 2b. Other Vocabularies

MARC Authority Format coding has approved the use of subfield $4 with a URI, but the PCC Policy Committee needs to finalize a policy governing its use. Until that policy is determined, use only approved relationship designators appearing in the RDA Toolkit.

Guideline 3: Relationship Designators in MARC Authority Records

Guideline 3a. Form and Subfield Coding of Relationship Designators

Record the relationship designator in MARC 5XX subfield $i. Record it before the authorized access point of the related entity. Capitalize the first word in the designator, and end the subfield with a colon. Otherwise record the relationship designator exactly as it appears in the vocabulary, including qualifiers, if any.

Include subfield $w with first position coded "r". The positioning of $w depends on the system display (e.g., in most systems subfield $w displays at the beginning of the field; in some it might display at the end of the field). Please refer to relevant system or MARC documentation for more information.

Examples:

100	1		Billequo, Nicolas, $d activité 1540-1541
500	1		$w r $i **Collègue** : $a Colines, Simon de, $d 1480?-1546
670			Renouard, P. Répertoire des imprimeurs Parisiens, 1965 : $b page 35 (Billequo (Nicolas); libraire, activité 1540-1541; adresse : Rue Moffetard, à Saint-Marcel; les quatre volumes connus apparaissant sous son nom ont été imprimés par Simone de Colines)

100	1		Martin, George R. R. $t Sworn sword. $l Espagnol
500	1		$w r $i **Traducteur** : $a Abascal, Jesús María
530		0	$w r $i **Contenu dans (expression)** : $a Legends II (Anthology). $l Espagnol
670			Leyendas, 2006 : $b sommaire (Canción de hielo y fuego, La espada leal, par George R.R. Martin) page de titre (traduit par Jesús Abascal)

Guideline 3b. Relationship Designators Only Used in 5XX Fields

Use relationship designators in 5XX fields only. When recording a relationship designator in 5XX field, record the designator using the $i and $w r technique (see DCM Z1, 5XX section).

Examples:

Agent to Agent			
100	1		Morrison, Jim, $d 1943-1971
500	1		$w r $i **Collègue** : $a Manzarek, Ray
510	2		$w r $i **Collectivité** : $a Doors (Groupe musical)
670			Britannica Academic, consulté le 13 avril 2017 : $b Jim Morrison (membre de Doors avec Ray Mazarek)

Agent to Agent			
110	2		Wesleyan University (Middletown, Conn.). $b East Asia Studies Program
510	2		$w r $i **Supérieur hiérarchique** : $a Wesleyan University (Middletown, Conn.)

Guideline 3c. Relationship Designators Not Used Within Authorized Access Points

Do not interpose relationship designators within authorized access points recorded in 5XX fields.

Example:

500	1		$w r $i **Adapté au théâtre comme (œuvre)** : $a Asher, Sandy. $t Emma
			NOT
500	1		$w r $i **Adapté au théâtre comme (œuvre)** : $a Asher, Sandy, *$e auteur*. $t Emma

Guideline 4: Relationships That Change Over Time

Most relationship designators are defined using the present tense, with the understanding that the designators can be used for relationships that took place in the past. Past and present relationships may be recorded in authority records at any time. If a relationship that no longer exists has been recorded in an authority record (e.g., because a person retired, or no longer holds a particular office), do not remove the relationship from the record.

Example:

Agent to Agent			
110	2		Gianni Versace S.p.A.
500	1		$w r $i **Directeur général** : $a Versace, Santo, $d 1944-
500	1		$w r $i **Directeur général** : $a Cacciatori, Fabio Massimo, $d 1961-
500	1		$w r $i **Directeur général** : $a Di Risio, Giancarlo
500	1		$w r $i **Directeur général** : $a Ferraris, Gian Giacomo
Reflects a succession of CEOs of the company			

An affiliation can be recorded in the 373 field, with subfields $s and $t coding to show time period for which that affiliation existed (see DCM Z1, 373 section).

Example:

Agent to Agent			
100	1		Sanders, Bernard
373			États-Unis. Congress. House $2 lacnaf $s 1991 $t 2007
373			États-Unis. Congress. Senate $2 lacnaf $s 2007
510	1		$w r $i **Collectivité** : $a États-Unis. $b Congress. $b House
510	1		$w r $i **Collectivité** : $a États-Unis. $b Congress. $b Senate
Currently in United States Senate. Previously served in the United States House of Representatives.			

Guideline 5. Specificity

When selecting a relationship designator, choose the most specific designator that is appropriate.

Examples:

Agent to Agent			
100	1		Tuckner, Paul
510	2		$w r $i **Directeur général de** : $a Grace Technology and Development (Firme)
NOT			
510	2		$w r $i **Dirigeant de** : $a Grace Technology and Development (Firme)
Tuckner's only position at Grace Technology and Development has been that of CEO			

Work to Work			
100	1		Dear, Nick. $t Persuasion
500	1		$w r $i **Scénario de télévision basé sur (œuvre)** : $a Austen, Jane, $d 1775-1817. $t Persuasion
NOT			
500	1		$w r $i **Basé sur (œuvre)** : $a Austen, Jane, $d 1775-1817. $t Persuasion
NOT			
500	1		$w r $i **Scénario basé sur (œuvre)** : $a Austen, Jane, $d 1775-1817. $t Persuasion

Use a more general relationship designator if a more specific term cannot be easily determined. It is not required to replace broader relationships with specific relationships (e.g., when a more specific term was not defined at the time the relationship was added) when updating a NAR.

Guideline 6. Multiple Relationships with the Same Entity

Sometimes an entity has more than one relationship to another entity. When recording multiple relationships, record them in separate 5XX fields, each with a single relationship designator in a single subfield $i.

Examples:

Agent to Agent			
110	2		Council of American Survey Research Organizations
510	2		$w r $i **Collectivité fondatrice** : $a Field Research Corporation
510	2		$w r $i **Collectivité membre** : $a Field Research Corporation

Expression to Agent			
130		0	Beowulf. $l Anglais $s (Crawford)
500	1		$w r $i **Traducteur** : $a Crawford, D. H.
500	1		$w r $i **Éditeur intellectuel** : $a Crawford, D. H.

Work to Agent			
130		0	Speaking in tongues (Émission de télévision)
500	1		$w r $i **Réalisateur de télévision** : $a Browne, Christene, $d 1965-
500	1		$w r $i **Producteur de télévision** : $a Browne, Christene, $d 1965-

Guideline 7. Relationship That Is Unclear

If a specific relationship cannot be determined, do not use a relationship designator. Use simple see-also from tracings (5XX) instead. Consider adding a 667 field to indicate that research has been done to determine the nature of the relationship,

Example:

Agent to Agent			
110	2		Skidmore, Owings & Merrill
510	2		Crosstown Associates
667			Impossible de déterminer la nature de la relation avec Crosstown Associates, [no Canadiana].

For instructions on using simple see-also references and 667 notes when an earlier name has been resumed, see LC-PCC PS for 32.1.1.3, Early Name Resumed.

Guideline 8. Relationship That Cannot Be Described Using a Relationship Designator

If the relationship between entities cannot be expressed using a relationship designator, consider using one or more of the following techniques:

- a simple-see also from tracing
- a 678 field

- a 667 field

Examples:

Agent to Agent			
100	1		Wiggin, Ender $c (Personnage fictif)
500	1		Card, Orson Scott
678	0		Ender Wiggin est le personnage principal de plusieurs romans et nouvelles d'Orson Scott Card, dont la brillante stratégie militaire sauve la race humaine de l'attaque d'une race extraterrestre semblable à des insectes géants appelés Doryphores ou Formiques.

Place to Place			
151			Saint-Barthélemy
551			France
678	1		L'île de Saint-Barthélemy est devenue une collectivité d'outre-mer de la France en 2007.

Reciprocal Relationships

Guideline 9: General Guidelines

Reciprocal relationship links are seldom required for each of the related authority records, but in certain cases these links can greatly improve search, retrieval, and contextualization of search results. Catalogers should use judgment about when to record them. In cases where only a few authority records are involved, recording reciprocal relationship links in each record is encouraged.

Example:

Person to Corporate Body			
100	1		Warren, Whitney, $d 1864-1943
510	2		$w r $i **Collectivité fondée d'une personne** : $a Warren & Wetmore

Reciprocal Relationship – Corporate Body to Person			
110	2		Warren & Wetmore
500	1		$w r $i **Fondateur** : $a Wetmore, Charles D., $d 1867-1941
500	1		$w r $i **Fondateur** : $a Warren, Whitney, $d 1864-1943

However, avoid recording reciprocal relationship links when there are potentially a large number of entities to be related.

Example:

Person to Corporate Body			
100	1		Boxer, Barbara
510	2		$w r $i **Collectivité** : $a Democratic Party (É.-U.)
Reciprocal relationship between Boxer and the Democratic Party would not be made in the record for Democratic Party (U.S.) because of the large number of members of the Democratic Party			

When relating agents and works, it is often sufficient to record the relationship only on the authority record for the work because agents may have relationships to many works.

Example:

Work to Agent			
130		0	Schindler's list (Film)
500	1		$w r $i **Réalisateur de cinéma** : $a Spielberg, Steven, $d 1946-
Stephen Spielberg has directed and/or produced dozens of motion pictures.			

See also Guidelines 11-15. For reciprocal relationships between pseudonymous and real entities, see Guideline 10.

Guideline 10: Real and Alternate Identities

See DCM Z1, 500 section, for instructions when only two name authority records are involved. See DCM Z1 663 section, when more than two name authority records are involved.

See also the FAQ - LC/PCC RDA Practice for Creating NARs for Persons Who Use Pseudonyms for treatment of the reciprocals.

Specific Cases

Guideline 11: Corporate Bodies

Sequential Relationships

Related corporate body is a core element for LC and PCC for sequential relationships with immediately preceding and immediately succeeding corporate bodies. See LC-PCC PS 32.1.

The former practice of using subfield $w values "a" (earlier name) or "b" (later name) to show chronological relationships between corporate bodies has been discontinued. Use relationship designators in $i and $w with value "r." Record the reciprocal relationship in the corresponding authority record following Guideline 9.

Examples:

Predecessor			
110	2		AcademiWales
510	2		$w r $i **Prédécesseur** : $a Public Service Management Wales (Programme)

Reciprocal Relationship--Successor			
110	2		Public Service Management Wales (Programme)
510	2		$w r $i **Successeur** : $a AcademiWales

Product of Split			
110	2		Estes & Lauriat
510	2		$w r $i **Résultat de scission** : $a Charles E. Lauriat Co.
510	2		$w r $i **Résultat de scission**: $a Dana Estes & Company

Reciprocal Relationship—Predecessor of Split			
110	2		Charles E. Lauriat Co.
510	2		$w r $i **Prédécesseur avant scission** : $a Estes & Lauriat

Reciprocal Relationship—Predecessor of Split			
110	2		Dana Estes & Company
510	2		$w r $i **Prédécesseur avant scission** : $a Estes & Lauriat

See Guideline 7 when the specific chronological relationship is not clear or when an earlier name has been resumed.

When updating an existing corporate body record that contains subfield $w values "a" or "b," catalogers may convert the subfield $w values to appropriate relationship designators from RDA Appendix K, using subfield $i and subfield $w value "r."

Note: Subfield $w values "a" and "b" do not always equate to "predecessor" and "successor." Do not change "a" and "b" if the relationship cannot be determined or expressed with an existing relationship designator.

Examples:

Existing NAR—Later Name			
110	2		American Architectural Foundation
510	2		$w a $a American Institute of Architects Foundation

Updated to Show Relationship Designator			
110	2		American Architectural Foundation
510	2		$w r $i **Prédécesseur** : $a American Institute of Architects Foundation

Existing NAR—Earlier Name			
110	2		American Institute of Architects Foundation
510	2		$w b $a American Architectural Foundation

Updated to Show Relationship Designator			
110	2		American Institute of Architects Foundation
510	2		$w r $i **Successeur** : $a American Architectural Foundation

For instructions on earlier names not likely to be needed as relationships, see LC-PCC PS for 32.1.1.3, *Earlier Names Not Likely to be Needed as Relationships*.

For instructions on updating NARs following earlier cataloging practices of recording earlier names in 4XXs, see DCM Z1, 4XX See From Tracings section, Earlier cataloging practices for 4XXs.

Government and Religious Officials
[new 8 March 2019]

Create reciprocal see also references to connect access points for government and religious officials (see RDA 11.2.2.18 and 11.2.2.26) and the person holding the office. Use appropriate relationship designators from RDA Appendix K.2.3 and K.4.1.

    Examples:

Government Official			
110	1		Oregon. $b Gouverneur (2015- : Brown)
500	1		$w r $i **Directeur général** : $a Brown, Kate, $d 1960-

Reciprocal Relationship—Related Person			
100	1		Brown, Kate, $d 1960-
510	1		$w r $i **Directeur général de** : $a Oregon. $b Gouverneur (2015- : Brown)

Religious Official			
110	2		Église catholique. $b Pape (1978-2005 : Jean Paul II)
500	0		$w r $i **Directeur général** : $a Jean Paul $b II, $c pape, $d 1920-2005

Reciprocal Relationship—Related Person			
100	0		Jean Paul $b II, $c pape, $d 1920-2005
510	2		$w r $i **Directeur général de** : $a Église catholique. $b Pape (1978-2005 : Jean Paul II)

Other Relationships

Use appropriate relationship designators from RDA Appendix K.4.3 when recording relationships between corporate bodies.

    Examples:

110	2		Field Research Corporation
510	2		$w r $i **Collectivité fondée d'une collectivité** : $a Council of American Survey Research Organizations

111	2		Conférence mondiale des télécommunications internationales $d (2012 : $c Dubayy, Émirats arabes unis)
510	2		$w r $i **Collectivité commanditaire** : $a Union internationale des télécommunications

Guideline 12: Hierarchical Relationships for Related Corporate Bodies

Whenever an immediate superior body is known, catalogers are strongly encouraged to record its relationship to the subordinate corporate body in the authority record for the subordinate body using the relationship designator "Hierarchical superior." There is no expectation or requirement to research corporate structures. Apply the guideline when the information is readily accessible and clear. In case of doubt, do not record the relationship. If the immediate superior body has not been established in the LC/NACO Authority File, and it is not feasible to establish it, do not record the relationship using a 5XX field. When known, however, the relationship should always be recorded in a 670 field.

Examples:

110	2		Sibley Music Library
510	2		$w r $i **Supérieur hiérarchique** : $a Eastman School of Music
The Eastman School of Music is the immediate superior body to Sibley Music Library. The superior body does not appear in the authorized access point.			

110	2		British Broadcasting Corporation. $b Broadcasting Research Department
510	2		$w r $i **Supérieur hiérarchique** : $a British Broadcasting Corporation
The British Broadcasting Corporation is the immediate superior body to Broadcasting Research Department. The superior body appears in the authorized access point.			

In general, do not record reciprocal "Hierarchical subordinate" relationships in the LC/NACO authority record for the superior body.

Example:

110	2		Cooper-Hewitt Museum
510	2		$w r $i **Supérieur hiérarchique** : $a Smithsonian Institution
BUT NOT ALSO			
110	2		Smithsonian Institution
510	2		$w r $i **Subordonné hiérarchique** : $a Cooper-Hewitt Museum

Guideline 13. Places: Chronological relationships

Related place is a core element for LC and PCC for sequential relationships with immediately preceding and immediately succeeding places (see LC-PCC PS 16.2.2.7 and 32.1.1.3).

Use MARC $w a and $w b codes to show chronological relationships between places (see DCM Z1, 551 section).

Other Relationships

When a place resumes an earlier name, follow the instructions in LC-PCC PS for 32.1.1.3, Earlier Name Resumed, B. Place Names.

Guideline 14. Family Relationships

Use relationship designators from Appendix K.3.2 to relate families descended from other families.

Example:

Descendant Family of			
100	3		Windsor (Famille royale : $d 1917- : $c Grande-Bretagne)
500	3		$w r $i **Famille descendante de** : $a Saxe-Coburg and Gotha (Famille royale : $d 1901-1917 : $c Grande-Bretagne)

Reciprocal Relationship—Descendant Family			
100	3		Saxe-Coburg and Gotha (Famille royale : $d 1901-1917 : $c Grande-Bretagne)
500	3		$w r $i **Famille descendante** : $a Windsor (Famille royale : $d 1917- : $c Grande-Bretagne)

Use relationship designators from K.3 to record relationships between families and other types of

agents.

Example:

100	1		Benson, Phoebe, $d 1820-1904
500	3		$w r $i **Descendants** : $a Benson (Famille : $d 1844- : $g Benson, Richard, 1816-1895)

If there is no appropriate relationship designator, use simple see-also references (see Guideline 7).

Works and Expressions

Guideline 15. Works and Expressions

Guideline 15a. Relating Works and Expressions to Agents

The relationship between a work and its principal creator is implied in an authority record for a work by the presence of the authorized access point for the creator within the authorized access point for a work. However, this does not allow for specifying the exact type of creator relationship in the access point (e.g., "author", "composer", "cartographer"). Nor does it record the relationship to other creators, when there is more than one.

Although the relationship designator for the creator is recorded in the bibliographic record as required by the *Training Manual for Applying Relationship Designators in Bibliographic Records*[1], a cataloger may optionally record the explicit relationship designator between a work and its creator in the authority record for a work using a 5XX field for the creator and a relationship designator from RDA Appendix I.2.1. When recording the relationship between a work and multiple creators, record each in a separate 5XX field.

*Examples: **Work to Creator(s)***

Work to Agent			
100	1		Tolkien, J. R. R. $q (John Ronald Reuel), $d 1892-1973. $t Return of the king
500	1		$w r $i **Auteur** : $a Tolkien, J. R. R. $q (John Ronald Reuel), $d 1892-1973
Relationship between a work and its creator.			

Work to Agent			
100	0		Christo, $d 1935- $t Emballage du Reichstag
500	1		$w r $i **Artiste** : $a Jeanne-Claude, $d 1935-2009
500	0		$w r $i **Artiste** : $a Christo, $d 1935
Collaborative work created by Christo and Jeanne-Claude.			

*Examples: **Work to Other Associated Agent***

Work to Agent		
130		0	Studies in economics (Eugene, Or.)

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[1] See Training Manual for Applying Relationship Designators in Bibliographic Records, page 2, best practices at: http://www.loc.gov/aba/pcc/sct/documents/rel-desig-guide-bib.pdf

510	2		$w r $i **Organisme de publication** : $a University of Oregon. $b Bureau of Business and Economic Research

Relationships between expressions and agents may also be recorded using an appropriate relationship designator from RDA Appendix I in a name authority record for the expression.

*Examples: **Expression to Agent***

Expression to Agent		
100	1	Rowling, J. K. $t Harry Potter and the prisoner of Azkaban $s (Kay)
500	1	$w r $i **Illustrateur** : $a Kay, Jim $c (Illustrateur)

Expression to Agent		
130	0	Bible. $l Allemand. $s Luther. $f 1681
500	1	$w r $i **Traducteur :** $a Luther, Martin, $d 1483-1546

Expression to Agent		
130	0	Good King Wenceslas; $o arrangé $s (McDonald)
500	1	$w r $i **Arrangeur de musique** : $a McDonald, Marshall

When recording relationships between a work/expression and multiple agents, record each agent in a separate 5XX field.

*Examples: **Work or Expression to Agents***

Work to Agent		
100	1	Humphrey, Doris, $d 1895-1958. $t New dance
500	1	$w r $i **Chorégraphe** : $a Humphrey, Doris, $d 1895-1958
500	1	$w r $i **Chorégraphe** : $a Weidman, Charles
Collaborative work created by Humphry and Weidman.		

Work to Agent		
100	1	Tamaki, Mariko. $t This one summer
500	1	$w r $i **Auteur** : $a Tamaki, Mariko

500	1		$w r $i **Artiste** : $a Tamaki, Jillian, $d 1980-
Collaborative work created by Mariko and Jillian Tamaki.			

Expression to Agent			
100	1		Gide, Charles, $d 1847-1932. $t Histoire des doctrines économiques $s (Sixième édition). $f 2000
500	1		$w r $i **Éditeur intellectuel** : $a Rist, Charles, $d 1874-1955
500	1		$w r $i A**uteur d'introduction** : $a Cot, Annie L.
500	1		$w r $i **Auteur d'introduction** : $a Lallement, Jérôme

Although relationships designators may be used with authorized access points for places in bibliographic records, they may not be recorded in name authority records to relate a work/expression and place.

Example:

Bibliographic Record			
110	1		Brésil, **$e juridiction promulgatrice.**
240	1	0	Constituição (1967)
245	1	0	Constituição da Républica Federativa do Brasil.

Name Authority Record			
110	1		Brésil. $t Constituição (1967)
No 5XX with a relationship designator is given because a relationship designator cannot be recorded in a 551 field according to the 551 field instruction sheet in DCM Z1 (see Appendix for more information).			

Guideline 15b. Relating Works/Expressions to Other Works/Expressions

Use relationship designators from Appendix J to record relationships between works/expressions and other related works/expressions.

Examples:

Work to Work			
100	1		Tolkien, J. R. R. $q (John Ronald Reuel), $d 1892-1973. $t Return of the king
500	1		$w r $i **Suite de** : $a Tolkien, J. R. R. $q (John Ronald Reuel), $d 1892-1973. $t Two towers
500	1		$w r $i **Dans la collection** : $a Tolkien, J. R. R. $q (John Ronald Reuel), $d 1892-1973. $t Lord of the rings
530		0	$w r $i **Adapté en film (œuvre)** : $a Lord of the rings, the return of the king (Film)

Expression to Work			
130		0	Gesta Romanorum. $l Anglais
530		0	$w r $i **Traduction de** : $a Gesta Romanorum

Sequential Series Relationships

For sequential relationships between two series, always record the reciprocal relationship in the corresponding record.

Example:

Work to Work			
130		0	Wiley-Blackwell companions to art history
530		0	$w r $i **Continuation de (œuvre)** : $a Blackwell companions to art history

Work to Work—Reciprocal Relationship			
130		0	Blackwell companions to art history
530		0	$w r $i **Continué par (œuvre)** : $a Wiley-Blackwell companions to art history

Guideline 15c. Multiple Entities and Relationships in one Name Authority Record

Relationships of multiple types and entities may all be recorded in one NAR. Each entity and relationship is contained in a separate 5XX field.

Examples:

Agent to Expression and Work to Expression			
100	1		Galilei, Galileo, $d 1564-1642. $t Dialogo dei massimi sistemi. $l Anglais $s (Finocchiaro)
500	1		$w r $i **Traducteur** : $a Finocchiaro, Maurice A., $d 1942-
500	1		$w r $i **Abréviateur** : $a Finocchiaro, Maurice A., $d 1942-
500	1		$w r $i **Abrégé de (œuvre) :** $a Galilei, Galileo, $d 1564-1642. $t Dialogo dei massimi sistemi

Agent to Expression and Expression to Expression			
100	0		Eschyle. $t Orestie. $l Anglais $s (Slavitt)
500	1		$w r $i **Éditeur intellectuel** : $a Slavitt, David R., $d 1935-
500	1		$w r $i **Traducteur** : $a Slavitt, David R., $d 1935-
500	0		$w r $i **Conteneur de (expression)** : $a Eschyle. $t Agamemnon. $l Anglais $s (Slavitt)
500	0		$w r $i **Conteneur de (expression)** : $a Eschyle. $t Choéphores. $l Anglais $s (Slavitt)
500	0		$w r $i **Conteneur de (expression)** : $a Eschyle. $t Euménides. $l Anglais $s (Slavitt)

Subjects

Guideline 16: Subject Relationships

According to current NACO policy, subject relationships between works or between a work and expression may be recorded in NARs using relationship designators from Appendix M.2.2-M.2.2.3.

Currently, subject relationships may be recorded in NACO records only between entities established in the LC/NACO Authority File. *Do not* record relationships to terms in other thesauri such as LCSH or LCGFT. See above, Introduction : PCC Policy for Recording Relationships in Authority Records.

Examples:

100	0		Eutocius, $c d'Ascalon. $t Commentarius in libros de planorum aequilibriis
500	0		$w r $i **Commentaire sur (œuvre) :** $a Archimède. $t De l'équilibre des figures planes

130		0	Textes d'auteurs grecs et latins relatifs à l'Extrême-Orient
500	1		$w r $i **Commentaire dans (œuvre) :** $a Sheldon, John $c (Chercheur). $t Commentary on George Coedès Texts of Greek and Latin authors on the Far East

If applying *LCSH* in bibliographic records, the optional use of these relationship designators does not replace any applicable *LCSH* subject access fields (e.g., a 6XX heading for a work in a bibliographic record that represents a commentary on that work).

Note that 5XX forms for subject relationships in name authority records might not reflect the subject heading recorded in a bibliographic record when applying LCSH and SHM instructions.

Example:

Bibliographic Record			
245	0	0	KJV 400 : $b the legacy & impact of the King James Version.
630	0	0	Bible. $l Anglais $x Versions $x Authorized.

Name Authority Record			
130		0	KJV 400
530		0	$w r $i **Description de (expression)**: $a Bible. $l Anglais. $s Authorized

Do not apply RDA Appendix M.2.4 (Manifestation as Subject of a Work), M.2.5 (Item as Subject of a Work), or M.2.6 – M.2.8 (Agents as Subject of a Work).

Appendix

This appendix contains proposed guidelines and practices that were in the original training manual but have been deferred for implementation (as of November 2018).

The appendix is intended to be used as a tracking mechanism as the deferred guidelines and practices are investigated and approved for future inclusion in the training manual.

Page	Guideline	Comment	Status	Date
p. 5	Guideline 2a. RDA Appendices	When the moratorium on changes to RDA is lifted, investigate the possibility of adding new relationship designators and allowing for editing existing relationship designators. The original document authorized the proposal of new relationship designators, and the proposal to change existing relationship designators; in addition, the original document proposed the concept of "pre-approval" of relationship designators, allowing them to be used in authority records pending a decision on their approval.		21 May 18
p. 5	Guideline 2b. Other Vocabularies	MARC AF coding for subfield $4 + URI has been approved (as of June 2018). Pending PCC Policy Committee policy governing its use. The original document proposed the use of relationship designators from sources other than RDA; non-RDA relationship designators would be accompanied by a 667 note indicating the source of the relationship designator.		19 Jun 18
p. 12	Guideline 10. Real and Alternate Identities	It is recommended that the PCC form a task group to thoroughly analyze the situation with multiple pseudonyms. A multiple pseudonym task group will need to confront issues such as the practice for "reciprocals" and the use of notes (e.g., 663 field) to express relationships in a linked data environment.		17 May 18

Page	Guideline	Comment	Status	Date
p. 16	Guideline 12. Hierarchical Relationships for Related Corporate Bodies	Use of subfield $w "rnna" would need to be added to the LC Guidelines and to DCM Z1. Also, the NACO validation tables will need to be adjusted to allow new use of this suppressed reference. The original document proposed the use of subfield $w rnna to indicate that the name of the immediate superior body recorded as a Hierarchical superior body is also included in the authorized access point. The use of subfield $w rnna would suppress the display of the linking reference in the 5XX field.		21 May 18
p. 19	Guideline 15a. Relating Works and Expressions to Agents	Current prohibition in DCM Z1 on recording relationship designators in a 551 field: there appears to be a full panoply of place-related relationship designators in the beta version of RDA, which will be implemented quite soon. The guidelines for relationship designators between jurisdictions and other entities will be developed after the implementation of the 2019 version of RDA. At that point, these guidelines will need to be reexamined based on the original draft, which included the use of relationship designators in 551 fields.		07 Sept 18
p. 24	Guideline 16. Subject Relationships	The SAC proposal on allowing relationships outside of the LC/NACO Authority File needs to be reviewed and approved by the PCC Policy Committee before any linkages between the LC/NACO Authority File and other vocabularies such as LCSH can be implemented.		29 Oct 18